

Form PTO-1390 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NO. (Rev. 12-29-99) H 3467 PCT/US TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known see 37.CFR4.5) 25 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED

PCT/EP99/04449

June 26, 1999

July 7, 1998

TITLE OF INVENTION

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SELF-DISPERSING HARDENABLE EPOXY RESINS

APPLICANT(S) FOR DO/EO/US

Horst Sulzbach, Thomas Huver, Hans-Josef Thomas and Vincenzo Foglianisi

Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)). 5.
 - is transmitted herewith (required only if not transmitted by the International Bureau). a. 🗆
 - has been transmitted by the International Bureau. b.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are transmitted herewith (required only if not transmitted by the International Bureau). a.
 - have been transmitted by the International Bureau. b.
 - have not been made; however, the time limit for making such amendments has NOT expired. C.
 - have not been made and will not be made.
- 8.

 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11.

 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A FIRST preliminary amendment
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. A substitute specification.
- 15. A change of power of attorney and/or address letter.
- 16. ☐ Other items or information:

"Express Mail Post Office to Addressee" service Mailing Label Number EL541612519US .

page 1 of 2

U.S. Application NO. INTERNATIONAL APPLICATION NO. PCT/EP99/04449				ATTORNEYS DOCKET NUMBER H 3467 PCT/US			
17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					CULATIONS	PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				= \$	860		
Surcharge of \$130.00 for furnishing the oath or declaration later than \square 20 \square 30 months from the earliest claimed priority date 37 (CFR 1.492(e)).					0		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	13 - 20 =	0	0 X \$18.0	00 s	0		
Independent Claims	1 - 3 =	0	0 X \$80.0		0		
		0					
Multiple dependent claims (s)(if applicable) 0 + \$270.00 TOTAL OF ABOVE CALCULATIONS =					0 860		
Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	0		
		SUBTOTA	AL =	= \$	860		
Processing fee of \$130.00 for furnishing the English translation later the 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0		
		L NATIONAL F	EE =	= \$	860		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					0		
		L FEES ENCLOS		= \$	860		
					int to be; ded;	\$	
	***************************************			charg	ed:	\$ 860.00	
a. A check in the amount of \$ to cover the above fees is enclosed.							
 b. ■ Please charge my Deposit Account No. <u>50-1177</u> in the amount of \$860.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. <u>01-0005</u>. c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1177</u>. A triplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. 							
					1/4A)		
SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept. 2500 Renaissance Blvd., Suite 200 Gulph Mills, PA 19406			200 <u>Si</u>	SIGNATURE:			
	Aaron R. Ettelman						
NAME					ATTORNEY FOR APPLICANT		
42,516 REGIS					ION NUMBE	D	
Form PTD 1350 (REV 12-29-99) poor 2 of 2					TOIS INDIVIDE	N.	